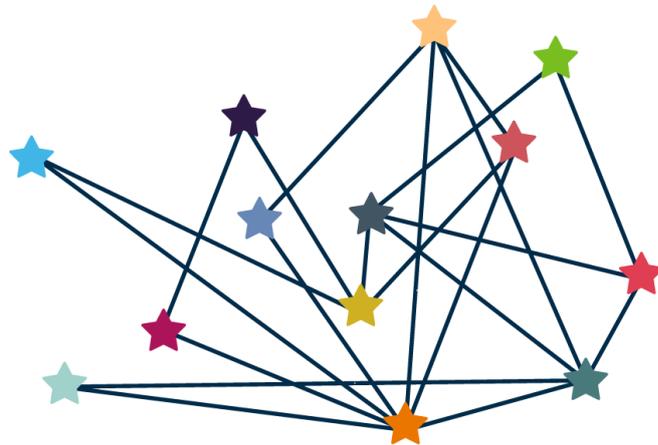


# ULT Grievance Policy



**UTTOXETER**  
LEARNING TRUST  
INSPIRED TEACHING  
INSPIRING CHILDREN

**Approved: Spring 2022**  
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## **Uttoxeter Learning Trust Grievance Policy and Procedure**

As an organisation, we believe that it is important that colleagues have the facility to raise any grievances relating to their employment and that these are dealt with promptly, fairly, consistently and without delay. The purpose of this policy and procedure is to give you the opportunity to raise grievances either informally or formally.

Any reference to 'the employer' refers to Uttoxeter Learning Trust. The 'appropriate level of authority' will be determined according to the employer's decision-making structure. This policy applies to employees, referred to in this policy as colleagues.

The procedure applies to all colleagues, regardless of length of service, but does not form part of the contract of employment and can be varied from time to time and in consultation with the recognised trade unions. The procedure does not apply once you have left the employer.

### **1. General Principles:**

- 1.1 A grievance is a concern, problem or complaint that a colleague raises with the employer. If your grievance relates to bullying or harassment in accordance with the Harassment and Bullying policy, then you must use this grievance procedure to address it.
- 1.2 This procedure cannot be used as an additional means of appeal against a decision or sanction under another policy.
- 1.3 If you raise a grievance, or support someone in raising their grievance, you will be protected from detriment and will not be victimised or placed at any disadvantage as a result of raising or supporting a grievance.
- 1.4 If your grievance is proven to be knowingly malicious or vexatious, you will be subject to disciplinary action and formal action may be taken against you in line with the Disciplinary Policy and Procedure.
- 1.5 You can use this procedure either on your own or collectively with colleagues, otherwise known as a collective grievance.
- 1.6 We are committed to equality and diversity and will make reasonable adjustments to the application of this policy and procedure where necessary and in line with our equal opportunities policy.

### **2. Link with Other Policies and Procedures:**

The Trust has various procedures for dealing with complaints, it may be that the grievance procedure is not the most appropriate to address your complaint.

## 2.1 Whistleblowing Policy

If your complaint relates to possible malpractice, wrongdoing or illegal activities which you wish to report, the complaint should be made in accordance with the ULT whistleblowing policy. However, you may also raise the matter as a grievance under this procedure if you feel that you have been directly affected by the matter in question or believe you have been treated less favourably for raising the matter.

## 2.2 Disciplinary/Performance Improvement Policy

Where you raise a grievance during disciplinary/performance management proceedings:

- The disciplinary/performance management proceedings may be temporarily suspended in order to deal with the grievance or
- The grievance and disciplinary/performance management may be run concurrently where they are related

## 2.3 Complaints related to pay and or grading

The ULT pay policy should be used for dealing with complaints about grading or job evaluation.

## 3. **Manager's Responsibility:**

### 3.1 All managers should:

- Comply with the requirements set out in this policy and procedure and observe the time requirements
- Ensure that at all times they treat the process as confidential
- Promote and operate the grievance policy and consider any grievances fairly and thoroughly
- Try to resolve the grievance without delay
- Where appropriate, ensure that mediation has been explored and offered to parties as a way of resolving the grievance before it reaches the formal stages
- Create and maintain a good working environment while the grievance is being handled
- Personally support all parties affected by the grievance
- Ensure that notes are kept and shared with the parties as appropriate

## 4. **Status Quo:**

### 4.1 For the purposes of this procedure, 'status quo' means the working arrangements or practices in place immediately before the event causing the grievance.

- 4.2 The status quo will apply (except where working practices are dangerous, where there would be a breach of statute, or where there would be a significant impact on colleagues' welfare) until the issue has been resolved or the formal stages of the procedure have been completed.

## **5. Mediation:**

- 5.1 Mediation is a confidential process that seeks to help colleagues resolve disputes in the workplace. Mediation is an informal process where colleagues involved in a dispute or whose relationship is under strain, have the opportunity to air their differences in a voluntary, safe, confidential meeting with the other party, in the presence of a mediator.
- 5.2 Mediation can be used at any point in the procedures, for example where other informal approaches have been unsuccessful, or if you do not feel that the formal procedure is the right option, you may want to consider mediation as an alternative to the formal procedure. Mediation is a voluntary option and it can only be used when both parties agree to take part. There is no penalty or detriment if you choose not to participate in a mediation process.
- 5.3 If both parties agree to engage in the mediation process as a way of resolving their issues, then the manager must contact the headteacher so that a mediator can be arranged.
- 5.4 If you go through mediation and it is not successful, you can then go through the formal grievance procedure.
- 5.5 If you have raised a grievance and decide to pursue mediation, the grievance may be paused while the mediation is taking place. If the issue is resolved informally or through mediation, the formal grievance will be closed.

## **6. Right to be Accompanied:**

- 6.1 You have the right to be accompanied by a companion at any meeting as part of the grievance procedure. The companion may be a fellow colleague, a trade union representative or an official employed by a trade union.
- 6.2 The companion is allowed to address the meeting, to put and sum up your case, respond on your behalf to any views expressed at the meeting and confer with you during the meeting.
- 6.3 Under this procedure, you do not have the right to be accompanied by anyone else (such as a spouse, partner, other family member or legal representative) apart from those people already referred to above.

- 6.4 It would not normally be reasonable for you to insist on being accompanied by a companion whose presence would prejudice the meeting; nor would it be reasonable for you to ask to be accompanied by a companion from a remote geographical location if someone suitable and willing was available on site.
- 6.5 Where appropriate, eligible colleagues, for example those with disabilities or language difficulties, may have the attendance of a supporter or interpreter.

## **7. The Grievance Stages:**

### **7.1 Stage 1 - Informal Procedure**

Many issues or concerns can be dealt with on an informal basis, outside of the formal grievance procedure through discussion with your line manager and we would encourage this. You should make it clear to your manager that you are raising your grievance informally and you should clarify what outcome you are seeking. You must give your manager reasonable time to deal with your complaint informally.

- 7.1.1 If your complaint is about your line manager, then you can discuss the matter with your manager's line manager in the first instance. No action will normally be taken unless agreed with you first.

### **7.2 Stage 2 – The Formal Procedure**

If your grievance has not been resolved informally or you feel that the informal process is not appropriate, then you can raise the matter formally. To do this, you (or your companion) must put your complaint in writing to your line manager making it clear that you wish to raise the matter as a grievance. If the grievance concerns your line manager, then you should send your grievance to your manager's line manager (which in many cases will be the headteacher). If the grievance concerns your headteacher then you should send your grievance to the CEO of the Trust. If the grievance concerns the CEO then you should send your grievance to the chair of the Trust Board.

- 7.2.1 Your complaint should explain the basis of your grievance, and it will be helpful to include any relevant dates, facts and any thoughts you may have on the outcome you are seeking.

- 7.2.2 If you choose to follow the formal route, on receipt of the grievance the person hearing the case will:

- arrange a meeting with you to discuss the grievance
- ensure the meeting is in private
- respect your confidentiality
- take notes of your grievance at the meeting
- carefully consider all the points raised and agree with you any steps or actions to try and resolve the grievance.

- 7.2.3 Where reasonably practicable, the meeting will be held within **10 working days** of receipt of the written grievance and sooner where the grievance is related to harassment or bullying.
- 7.2.4 Depending on your grievance, your complaint may need to be investigated and the meeting may need to be adjourned. A further meeting will be arranged with you following the investigation. You will be kept informed of the progress of the investigation. Investigations will be dealt with as confidentially and sensitively as possible.
- 7.2.5 Once your grievance has been considered, the person hearing the case will write to you with the outcome within **10 working days**. You will also be advised of your right of appeal should you be dissatisfied with the decision and to whom your appeal should be made.

### 7.3 **Stage 3 – The Appeal**

If you are not satisfied with the outcome at stage 2, you may appeal the decision. Your appeal must be in writing and set out the grounds of your appeal in line with the list below and include all the information you wish to rely on at the appeal meeting. You must send your appeal to the Appeal Manager within **5 working days** of the date you received the letter notifying you of the grievance decision. If the headteacher has managed the grievance process the appeals manager will be the chair of the governing body. In the case of the headteacher the appeal manager will be the chair of the Trust Board and, in the case of the CEO, this will be a committee of trustees not involved in the original hearing.

7.3.1 You must be appealing against either:

- the finding that your grievance was not upheld where the evidence does not support this finding
- the fact that you don't feel the correct procedure was followed
- the fact the new evidence has come to light that would change the outcome
- the outcome is inconsistent with how others have been treated

7.3.2 You will be invited to the meeting. You will be given at least **10 working days'** notice of the appeal meeting to consider your appeal and any suggestions you have for resolving the grievance. You have the right to be accompanied at the appeal meeting by your companion.

### 7.4 **Outcome**

The outcome of the appeal may be to overturn or confirm the original decision or apply a different resolution. The Appeal Manager will either recall the colleague to the appeal hearing or will write to the colleague confirming their decision. The appeal outcome will be confirmed in writing within **10 working days** of the appeal hearing. There is no further right of appeal.

**8. Records:**

- 8.1 At the end of each formal stage of the grievance procedure, the person hearing the case will send you written confirmation of the decision, within **10 working days** following the meeting. The letter will record the outcome and any terms of any agreement reached in resolving the grievance/dispute. At stage 2 the letter will also explain whom you can appeal to (the Appeal Manager) if you are still not satisfied.
- 8.2 A copy of the outcome letter and any formal meeting notes will remain on your personnel file.